

Fraud Prevention Readiness Checklist

Aligning with UK Government Guidance on the Failure to Prevent Fraud Offence



Horizon Scanning & Risk ID'ing

- ☐ Do you actively scan for new and emerging fraud risks relevant to your industry and workforce?
- ☐ Do you use external data (e.g. planning, rental, business activity, or social media) to detect flags that indicate fraud?
- ☐ Do you maintain awareness of high-risk behaviors (e.g. undisclosed directorships, personal financial distress)?



Risk Assessment & Approval

- ☐ Have you mapped out where fraud could occur across roles, departments, or third parties?
- ☐ Do you regularly review and approve these assessments?
- ☐ Are your assessments based on both internal and external factors, such as hidden ownership or undeclared income?



Fraud Detection & Prevention

- ☐ Do you apply enhanced due diligence for high-risk individuals and vendors?
- ☐ Are public records part of your onboarding, procurement, or conflict-of-interest checks?
- ☐ Are your fraud prevention measures tested, documented, and reviewed?



Top-Level Commitment

- ☐ Has senior leadership assigned accountability for fraud prevention at executive or board level?
- ☐ Have leaders actively endorsed and promoted the fraud prevention framework?
- ☐ Is there a clear tone from the top that reinforces zero tolerance for fraud?



Staff Awareness and Training

- ☐ Are policies and procedures clearly communicated to staff?
- ☐ Do relevant staff receive fraud awareness or detection training?
- ☐ Do staff understand how and when to report suspicious activity?



Management Info & Escalation

- ☐ Do you track metrics on red flags and incidents?
- ☐ Can senior managers access reliable MI on fraud risk and detection activity?
- ☐ Are insights from public records part of compliance and audit reporting?



Investigations & Whistleblowing

- ☐ Do you have protocols for investigating suspected fraud?
- ☐ Can you corroborate whistleblower reports with factual open-source data?
- ☐ Are investigations logged and followed through with appropriate action?



Monitoring & Review

- ☐ Do you regularly review the effectiveness of your fraud prevention procedures?
- ☐ Are your controls updated to reflect new risks or incidents?
- ☐ Is there a clear audit trail of checks, reviews, and actions taken?

About Public Insights

Public Insights helps investigators find addresses, associates, and financial signals tied to UK people and businesses — all from a single search. The platform brings together government and commercial records to uncover properties, companies, directorships, insolvencies, and more. Designed for OSINT workflows, it's the starting point for investigations into fraud, due diligence, asset tracing, and more. Try Public insights today at cradle.publicinsights.uk/accounts/signup to see how our platform can support your investigation workflows.